

**Annexure – B (Stock broker)**

**Annexure –C (DP)**

**Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites**

**Data for every month ending**

S N	Receive d from	Carried forwar d from previou s month	Receive d during the month	Total Pendin g	Resolve d*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 month s	Pending for more than 3 month s	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0		0
2	SEBI (SCORE S)	0	0	0	0	0		0
3	Stock Exchang es/Depo sitories	0	0	0	0	0		0
4	Other Sources (if any)	0	0	0	0	0		0
5	<b>Grand Total</b>	0	0	0	0	0		0

**Trend of monthly disposal of complaints**

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6

1	April -2023	0	0	0	0
2	May-2023	0	0	0	0
3	June-2023	0	0	0	0
4	July-2023	0	0	0	0
5	Aug-2023	0	0	0	0
6	Sept-2023	0	0	0	0
7	Oct-2023	0	0	0	0
8	Nov-2023	0	0	0	0
9	Dec-2023	0	0	0	0
10	Jan-2024				
11	Feb-2024				
12	March-2024				
	<b>Grand Total</b>	0	0	0	0

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

### **Trend of annual disposal of complaints**

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	0	0	0	0
2	2018-19	0	0	0	0
3	2019-20	0	0	0	0
4	2020-21	0	0	0	0
5	2021-22	0	0	0	0
6	2022-23	0	0	0	0
7	2023-24 (up to Dec.2023)	0	0	0	0
	<b>Grand Total</b>	0	0	0	0